

# City University of Hong Kong

## Joint Research Statement for Joint PhD Programme of Mainland Collaboration Schemes

### 1. Personal Particulars

( ): \_\_\_\_\_  
English Name (Chinese Name): \_\_\_\_\_

Partner University: \_\_\_\_\_

Research Supervisor at Partner University: \_\_\_\_\_

Major Discipline: \_\_\_\_\_

: \_\_\_\_\_  
CityU School / Department applying for: \_\_\_\_\_

Research Supervisor at CityU: \_\_\_\_\_

### 2. / Conferment of Degrees and Issuance of Graduation/Degree Certificate

/ /  
A student under the Joint PhD Programme of the Mainland Collaboration Schemes must satisfy the programme, graduation and degree application requirements of both CityU and home university in order to be awarded with a graduation certificate and PhD degree certificates. Upon fulfillment of the programme requirements and passing the thesis oral defense prescribed by both universities, a student can then apply for the PhD degree certificates awarded by the two universities in accordance with their own sets of rules and regulations.

### 3. Signature of Research Achievements

The scientific research achievements attained through studies in the Joint PhD Programme should specify the names of both universities. The order of the names of the universities, as well as the other arrangements shall be decided through consultation by the appropriate departments of the universities, with suggested arrangements below:

Student<sup>1,2</sup>, Research Supervisor at Partner University<sup>1</sup>, Research Supervisor at CityU<sup>2</sup>  
[1. ; 2. ]  
[1. Partner University; 2. City University of Hong Kong]

Student<sup>1,2</sup>, Research Supervisor at CityU<sup>1</sup>, Research Supervisor at Partner University<sup>2</sup>  
[1. ; 2. ]  
[1. City University of Hong Kong; 2. Partner University]

#### 4. **Teaching Model and Supervision**

Students will follow a dual supervisor system. CityU and the Partner University will each designate an academic staff member to be the student's supervisor. These two supervisors from the two universities will jointly discuss and decide on course selection, research direction, thesis title and so on for their student.

During the study period of the Joint PhD Programme, a Qualifying Panel formed by not less than three persons from both universities will monitor the student's learning progress. The core members of the Qualifying Panel will include the supervisors of the two universities. Apart from communication through electronic or other platforms, both research supervisors should conduct supervision by visiting the student in person regularly at student's designated study location.

#### 5. **Intellectual Property and Awards**

(i)

( )

During the study period, all research achievements, their respective intellectual properties and all kinds of awards obtained by the student, which are derived from the completion of his/her programme and the use of exclusive resources at the partner university or CityU, shall belong to the exclusive ownership of the partner university or CityU. All research achievements, their respective intellectual properties and all kinds of awards obtained by the student, which are derived from the completion of his/her programme or the use of resources at both the partner university and CityU, shall belong to the joint ownership of the partner university and CityU. The research achievements mentioned in this clause

include but not limited to published articles, inventions (whether they are patentable or not), concepts, formulae, components, data, technologies, software etc.; and intellectual properties described in this clause include but not limited to copyrights, literary rights, technical knowledge, patents, etc.

(ii) \_\_\_\_\_ /

Without consent from the other party, any party hereto shall not announce or publish any research achievements derived from the completion of students' programme or the use of resources at the partner university and/or CityU.

(iii)

Either party may make use of the research achievements and their respective intellectual properties for teaching or research purposes free of charge. Should any party intend to use the research achievements and their respective intellectual properties for commercial purpose or commercialization, both parties shall discuss the terms involved and sign a separate written agreement.

This Statement shall take effect immediately once signed by both research supervisors of the two Universities.

City University of Hong Kong [ Partner University Name: ]

\_\_\_\_\_  
Research Supervisor

\_\_\_\_\_  
Research Supervisor

\_\_\_\_\_  
Date *Name*

\_\_\_\_\_  
Date *Name*

[ \_\_\_\_\_ ]  
**[Remarks: Signing of this Statement shall not imply admission of the applicant to the Joint PhD Programme of the Mainland Collaboration Schemes.]**